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### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/08/2008

Douglas J. Christensen, Esq. Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center 80 South Eighth Street Minneapolis, MN 55402-2100

EXAMINER				
ZURITA, JAMES H				
ART UNIT	PAPER NUMBER			

3625

DATE MAILED: 09/08/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,525	05/23/2001	Tadashi Goino	2842.04US01	3075

TITLE OF INVENTION: AUCTION METHODS, AUCTION SYSTEMS AND SERVERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/08/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

ppropriate. All further ndicated unless correcte naintenance fee notifical	correspondence includired below or directed other	ng the Patent, advance on herwise in Block 1, by (a	rders and notification  a) specifying a new c	of m orresp	naintenance fees wi pondence address;	ill be and/or	mailed to the current of (b) indicating a separ	correspondence address as ate "FEE ADDRESS" for
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Douglas J. Christensen, Esq. Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center				Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
80 South Eighth Minneapolis, Mi								(Depositor's name)
-								(Signature) (Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTORNEY DOCKET NO.		CONFIRMATION NO.
09/864,525 ITLE OF INVENTION	05/23/2001 : AUCTION METHOD!	S, AUCTION SYSTEMS	Tadashi Goino AND SERVERS				2842.04US01	3075
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nonprovisional	NO	\$1440	\$300		\$0		\$1740	12/08/2008
EXAM	INER	ART UNIT	CLASS-SUBCLASS	3				
ZURITA, .	JAMES H	3625	705-026000					
Change of correspondence address or indication of "Fee Address" (37 FR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to					
PLEASE NOTE: Unl recordation as set forti (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	oletion of this form is NO	data will appear on t T a substitute for filing (B) RESIDENCE: (G	he pa g an a	tent. If an assigne assignment. and STATE OR Co	OUNT	'RY)	cument has been filed for
								<u> </u>
a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
	<b>tus</b> (from status indicated s SMALL ENTITY statu	,	☐ b. Applicant is no	o long	ger claiming SMAL	L ENT	ΓΙΤΥ status. See 37 CF	R 1.27(g)(2).
OTE: The Issue Fee and terest as shown by the i	d Publication Fee (if requeecords of the United Sta	uired) will not be accepted tes Patent and Trademark	d from anyone other the Office.	nan th	ne applicant; a regis	tered a	attorney or agent; or the	assignee or other party in
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n application. Confident abmitting the completed his form and/or suggesti	tiality is governed by 35 d application form to the ions for reducing this but firginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	1.14. This collection is depending upon the is Chief Information C	is esti indivi Office:	mated to take 12 m idual case. Any cor r. U.S. Patent and 1	ninutes mment Fraden	to complete, including s on the amount of tim nark Office, U.S. Depar	by the USPTO to process) gathering, preparing, and e you require to complete tment of Commerce, P.O. or Patents, P.O. Box 1450,

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# United States Patent and Trademark Office

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75	590 09/08/2008		EXAM	INER
Douglas J. Christ	ensen, Esq.		ZURITA,	JAMES H
Patterson, Thuente	, Skaar & Christensen,	P.A.	ART UNIT	PAPER NUMBER
4800 IDS Center 80 South Eighth St Minneapolis, MN (			3625 DATE MAILED: 09/08/200	8

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1338 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1338 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)				
	09/864,525	GOINO, TADASHI				
Notice of Allowability	Examiner	Art Unit				
	JAMES ZURITA	3625				
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet with (OR REMAINS) CLOSED in the or other appropriate communic IGHTS. This application is sub	the correspondence address nis application. If not included cation will be mailed in due course. THIS				
1. $\boxtimes$ This communication is responsive to <u>amendment of 12 Feb</u>	<u>bruary 2008</u> .					
2. ☑ The allowed claim(s) is/are <u>127-146</u> .						
<ul> <li>3. Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> </ul>	e been received. e been received in Application I	No				
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	IENT of this application.					
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.					
(a) $\square$ including changes required by the Notice of Draftspers	son's Patent Drawing Review (	PTO-948) attached				
1) ☐ hereto or 2) ☐ to Paper No./Mail Date						
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1)						
each sheet. Replacement sheet(s) should be labeled as such in t	he header according to 37 CFR	1.121(d).				
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT						
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. 🗌 Notice of Infor	mal Patent Application				
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Sum					
3. X Information Disclosure Statements (PTO/SB/08),	Paper No./Ma 7.	ail Date nendment/Comment				
Paper No./Mail Date 20080428 4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material						
	9. 🔲 Other					

Application/Control Number: 09/864,525

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### **DETAILED ACTION**

## Notice of Allowability

On 12 February 2008, applicant cancelled all remaining claims and added claims 127-146.

Applicant's comments concerning Ayed (US 6756913) are persuasive.

Claims 127-146, a total of 20 claims, are allowed.

#### Examiner's Reasons for Allowance

The following is an examiner's statement of reasons for allowance:

Updated searches were preformed on the claimed limitations.

Ayed, the closest US prior art, does not disclose, inter alia,

- receiving, by the auction management system over a communication network, bids in response to the auction program, each bid including:
  - positional information representing a current geographic location of a prospective service provider associated with that bid, the current geographic location being automatically determined via a GPSenabled terminal; and
  - current situational information specific to the prospective service provider, the current situational information including automaticallygathered information, other than the positional information, relevant for determining any potential delay associated with rendering of the service by the prospective service provider; and
- analyzing the bids, by the auction management system, to identify at least one favorable bid based on the positional information and on the current situational information of each bid, and identifying a group of at least one successful bidder associated with at least one of the at least one favorable bid.

The closest non-patent literature is an article by *Merrill Goozner, Chicago Tribune*.. Chicago Tribune (pre-1997 Fulltext). Chicago, Ill.: Jan 30, 1991, Firm sells sense of place Satellite-based location-finder fueling growth; [NORTH SPORTS FINAL, C Edition], downloaded from ProQuest on the

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Internet on 11 May 2008. Goozner discloses managing taxi fleets via GPS but does not describe, *inter alia*,

- receiving, by the auction management system over a communication network, bids in response to the auction program, each bid including:
  - positional information representing a current geographic location of a prospective service provider associated with that bid, the current geographic location being automatically determined via a GPSenabled terminal; and
  - current situational information specific to the prospective service provider, the current situational information including automaticallygathered information, other than the positional information, relevant for determining any potential delay associated with rendering of the service by the prospective service provider; and
- analyzing the bids, by the auction management system, to identify at least one favorable bid based on the positional information and on the current situational information of each bid, and identifying a group of at least one successful bidder associated with at least one of the at least one favorable bid.

#### Conclusion

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAMES ZURITA whose telephone number is (571)272-6766. The examiner can normally be reached on 8am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey A. Smith can be reached on (571)272-6763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAMES ZURITA/ Primary Examiner Art Unit 3625 11 May 2008